## JC17 Rec'd P. TO 1 5 MAY 2001

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY 'S DOCKET NUMBER					
TRANSMITTAL LETTER TO THE UNITED STATES		02307Z-Ô90130US					
DESIGNATED/ELECTED OFFICE (DO/EO/US)		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
CONCERNING A FILING UNDER 35 U.S.C. 371		09/831958					
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
PCT/US99/27318	November 17, 1999	November 17, 1998					
TITLE OF INVENTION							
NOVEL ENHANCERS OF PLANT GROWTH							
APPLICANT(S) FOR DO/EO/US							
Donald A. Phillips; Cecillia M. Joseph, James R. Sanborn; Guo-Ping Yang							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1.  This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 36 U.S.C. 371.							
3. A This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.							
4. \(\omega\) The US has been elected by the expiration of 19 months from the priority date (Article 31).							
5.  A copy of the International Application as filed (35 U.S.C. 37(c)(2))							
a. is attached hereto (required only if not communicated by the International Bureau).							
b. has been communicated by the International Bureau							
c.  is not required, as the application was filed in the United States Receiving Office (RO/US).							
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
a.  is attached hereto.							
b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).							
a. are attached hereto (required only if not communicated by the International Bureau).							
b. have been communicated by the International Bureau.							
c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. \( \subseteq \) have not been made and will not be made.							
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).							
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT							
Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s) or information included:							
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. 🖾 A FIRST preliminary amendment.							
14. A SECOND or SUBSEQUENT preliminary amendment.							
15. A substitute specification.							
16. A change of power of attorney and/or address letter.							
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.							
18. A second copy of the published international application under 36 U.S.C.  19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20. \( \subseteq \text{ Other items or information:} \)							



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I/S/ Application to (if I now	837958	PCT/US99/27318	NO.	02307Z-090130US	
21. The follow	ving fees are submitted:	101/00/3/11/010		CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(A) (1) – (5)):					
Neither international	preliminary examination fe	e (37 CFR 1.492)			
	ch fee (37 CFR 1.445(a)(2)	) paid to USPTO the EPO or JPO	¢1000 00		
and international Seal	ren Report not prepared by	the EPO of JPO	51000.00		
	nary examination fee (37 CI		****		
USPTO but Internation	onal Search report prepared	by the EPO of JPO	\$860.00		
	nary examination fee (37 CI		4.0		
USPTO but internation	onal search fee (37 CFR 1.4	45(a)(2)) paid to USPTO	\$710.00		
	nary examination fee (37 CI				
USPTO but all claims	s did not satisfy provisions	of PCT Article 33(1)-(4)	\$690.00		
International prelimin	nary examination fee (37 CI	FR 1.482) paid to			
		T Article 33(1)(4)	\$100.00		
EN	NTER APPROPRIATE B	ASIC FEE AMOUNT =		\$100	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30			30	s	
	iest claimed priority date (3				<u> </u>
CLAIMS Total claims	NUMBER FILED 75 - 20 =	NUMBER EXTRA 55	x \$18.00	\$ \$990	1
Independent claims	6-3=	3	x \$80.00	\$240	
	DENT CLAIM(S) (if applic		+ 270.00	\$	
	TOTAL (	OF ABOVE CALCUL	ATIONS =	\$1,330	
Applicant clai		See 37 CFR 1.27. The fees	indicated +	\$	
	,	SUI	BTOTAL =	\$1,330	
Processing fee of \$13	0.00 for furnishing the Eng	lish translation later than 2	20 🔲 30		<del> </del>
months from the earliest claimed priority date (37 CFT 1.492(f).		\$			
_		TOTAL NATION			
Fee for recording the enclosed assignment (37 CFR 1.2(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$			
TOTAL FEES ENCLOSED =			\$1,330		
				Amount to be refunded:	\$
				charged:	s
a	n the amount of \$	to cover the above fe	es is enclosed		
=				cover the above fees.	
overpayment to Deposit Account No. 20-1430. A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
informati	on should not be included	on this form. Provide credit	card informatio	on and authorization on P	10-2038.
NOTE: When a		27 CED 1 404 1 405 ha		a natition to marine (27	CED
		er 37 CFR 1.494 or 1.495 hastore the application to pend		, a petition to revive (37	
(2)	<b></b>			$\langle .   .   .   .  $	)/ -/
SEND ALL CORRES	SPONDENCE TO:			4/200	
				SIGNATURE	
Matthew E. Hinsch					
Townsend and Towns	send and Crew LLP			Matthew E. H	insch
Two Embarcadero Center, 8th fl.			NAME		
San Francisco, CA 9	4111	•			
				47,651	
				REGISTRATION	NUMBER